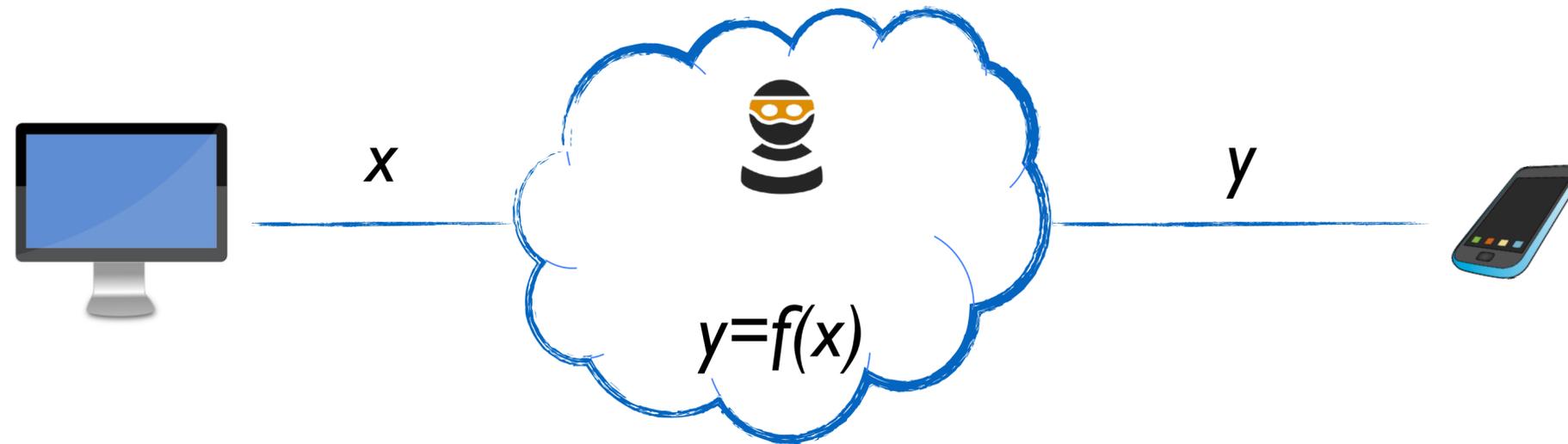


# **Homomorphic Authentication for Computing Securely on Untrusted Machines**

Dario Fiore **IMDEA Software Institute, Spain**

# computing on **untrusted** machines



devices receive information processed on **untrusted** machines

## security concerns

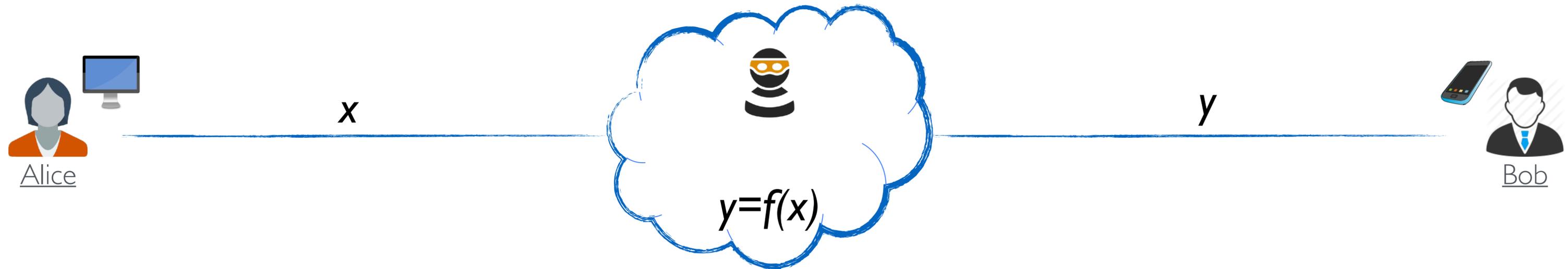
**integrity.** ensuring that results computed by third parties are correct?

**privacy.** ensuring that no **unauthorized information** is **leaked** to the third parties?

**real world**



# main security goals / research problems



**computation's integrity.** ensuring correctness of computations performed by untrusted machines. *Bob must efficiently establish if  $y=f(x)$ , given  $f, x, y$*

verifiable computation

**computation's authenticity.** ensuring correctness of computation and origin of the data used in the computation performed by untrusted machines

*Bob must efficiently establish if  $y=f(x)$  for an  $x$  from Alice, given  $f, y$*

homomorphic authentication

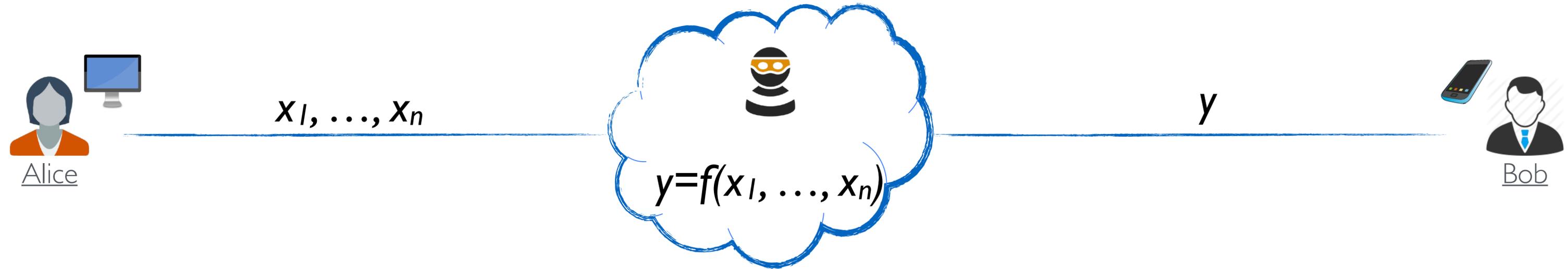
**privacy-preserving computation.** enabling untrusted machine to compute  $f(x)$  **without learning  $x$**  (+ can also ensure integrity/authenticity)

homomorphic/functional/searchable... encryption

# roadmap of this talk

- **computing on untrusted machines**
- **focus on computation authenticity**
- **homomorphic authentication**
  - concept
  - state of the art
  - a simple realization
- **computation authenticity for multiple data sources**
- **conclusions**

# computation's authenticity



## main desiderata

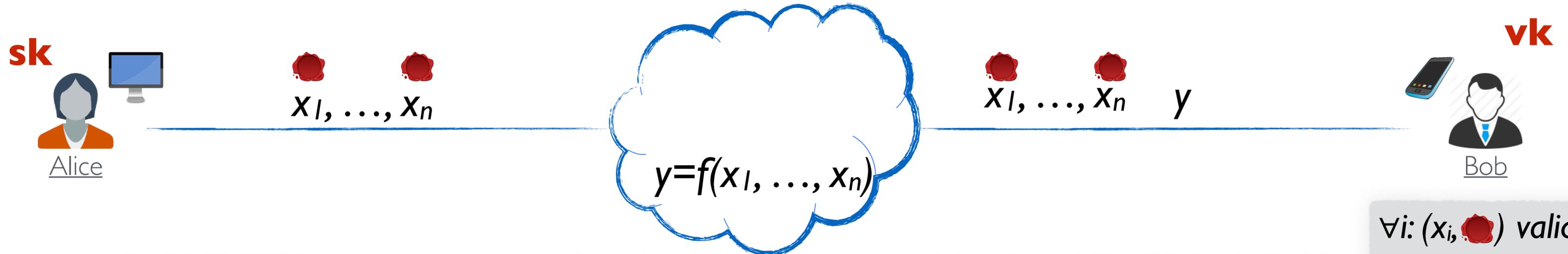
**security/authenticity.** untrusted machine unable to cheat (i.e., sending  $y' \neq f(x_1, \dots, x_n)$ ) + Bob must get convinced that data from Alice used to obtain  $y$

**efficiency.** communication/storage of Bob minimized

**challenge.** achieving both security and efficiency

*how to achieve only efficiency (w/o security)?*

# a solution with security and without efficiency



using (classical) authentication methods (e.g., digital signatures)

keygen()  $\rightarrow$  (pk, sk)

sign(sk, m)  $\rightarrow$  s

ver(pk, m, s)  $\rightarrow$  {reject, accept}

**security guarantee (unforgeability):** w/o sk not possible to generate a valid signature

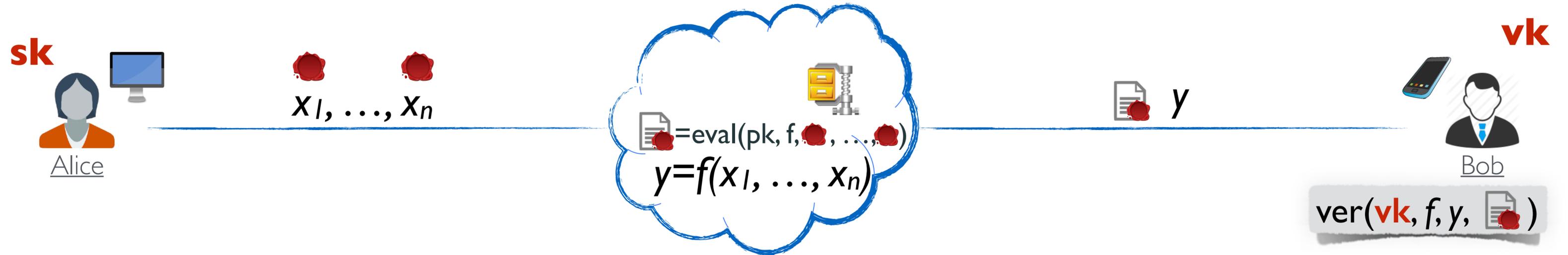
$\forall i: (x_i, \text{seal})$  valid  
AND  
 $y=f(x_1, \dots, x_n)$

**security/authenticity.** Cloud unable to cheat

(e.g., sending  $y'=f'(x_1, \dots, x_n)$ , or  $y''=f(x'_1, \dots, x_n)$  )

**efficiency.** communication/storage of Bob minimized

# security & efficiency: homomorphic authentication



 **security/authenticity.** w/o **sk** only possible to certify correct computations results  $\Rightarrow$  Cloud cannot cheat

 **efficiency.** size of authenticators independent of  $n$   
 $\Rightarrow$  communication/storage of Bob minimized

# homomorphic authentication

- concept introduced by [Desmedt93]
- first formalization by [Johnson-Molnar-Song-Wagner02]
- formal definitions by [Boneh-Freeman-Katz-Waters09] (network coding application)
- first full fledged formalization [Boneh-Freeman11]



# homomorphic authenticators (HA)

$\text{keygen}(1^k) \rightarrow (\text{sk}, \text{ek}, \text{vk})$

$\text{auth}(\text{sk}, \mathbf{i}, x_i) \rightarrow \sigma_i$

$\text{eval}(\text{ek}, f, \sigma_1, \dots, \sigma_n) \rightarrow \sigma$

$\text{ver}(\text{vk}, \mathbf{f}, y, \sigma) \rightarrow \{\text{reject}, \text{accept}\}$

**correctness (basic idea).**

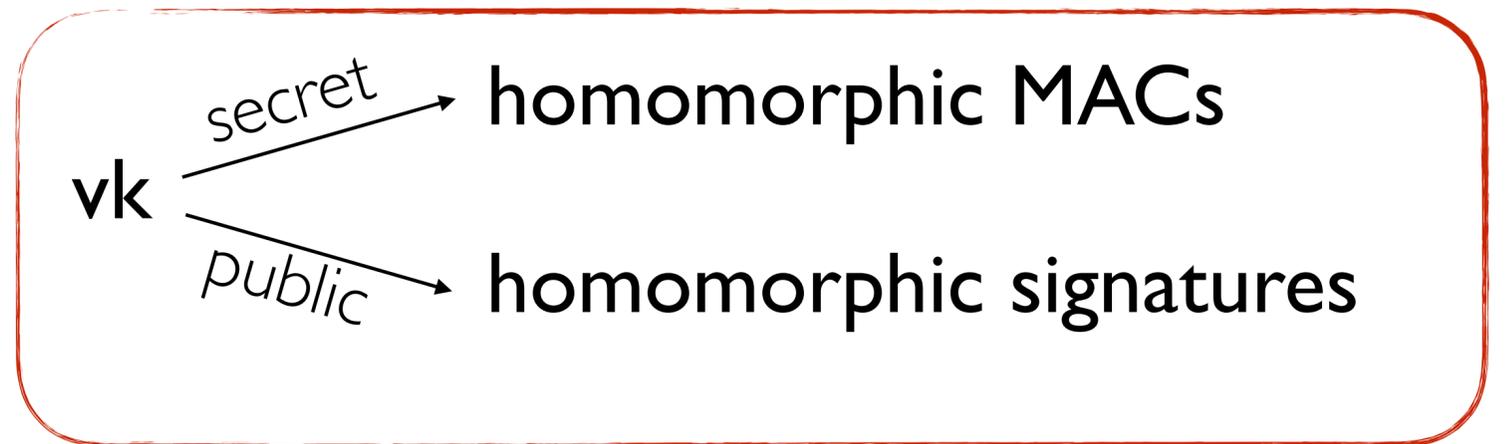
$\{\sigma_i \leftarrow \text{auth}(\text{sk}, \mathbf{i}, x_i)\}$  and  $\sigma \leftarrow \text{eval}(\text{ek}, f, \sigma_1, \dots, \sigma_n),$

$\Rightarrow \text{ver}(\text{vk}, \mathbf{f}, f(x_1, \dots, x_n), \sigma) = \text{accept}$

**succinctness.** there is a universal polynomial  $p(\cdot)$  such that  $|\sigma| \leq p(k, \log n)$

**security.** w/o sk one can only create valid authenticators on legitimate outputs

\* deliberately omitting some details of the model for simplicity



# unforgeability of homomorphic authenticators



## adversary wins if

$$y^* \neq f(x_1, \dots, x_n) \text{ AND } \text{ver}(vk, f, y^*, \sigma^*) = \text{accept}$$

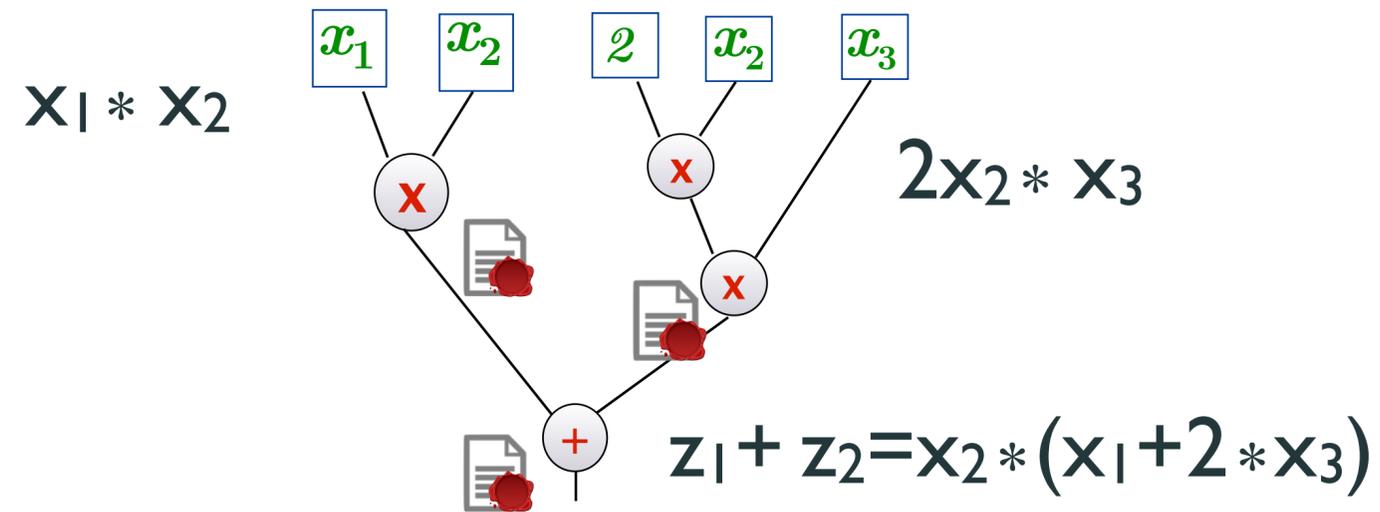
**unforgeability.** an HA scheme is unforgeable if any PPT adversary wins this game with negligible probability

**def. subtleties.** how to define forgeries if some  $i$  was never queried?

*[CFN18] simply say it is a forgery if inputs are missing*

# additional (interesting) properties of HAs

**composability.** outputs of eval can be fed back to eval



useful to parallelize/distribute computation with correctness proofs

**context-hiding.** authenticators on functions outputs do not reveal information about the inputs

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# HA from the origins to state-of-the-art

## the concept of homomorphic authentication

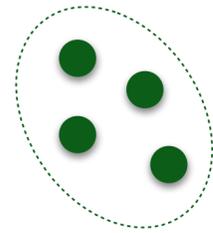
- concept introduced by [Desmedt93]
- first formalization by [Johnson-Molnar-Song-Wagner02]
- formal definitions by [Boneh-Freeman-Katz-Waters09] (network coding application), [Boneh-Freeman11] (first full-fledged formalization)

## two fundamental research directions

- (1) to broaden the class of functionalities that can be computed homomorphically
- (2) to obtain efficient instantiations

# (I) supported functionality (HS)

HS state of the art



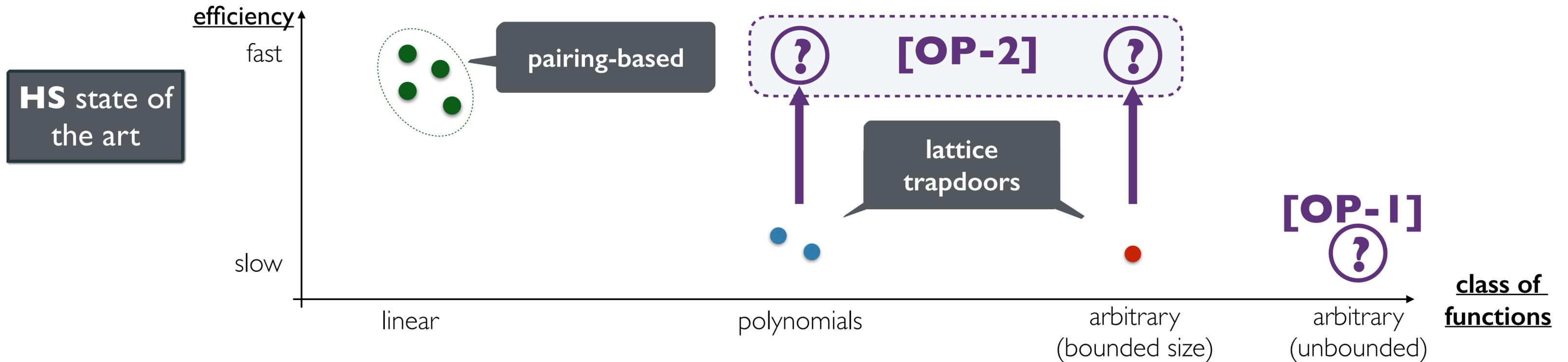
**linear functions** [Boneh-Freeman-Katz-Waters09, Gennaro-Krawczyk-Rabin10, Catalano-F-Warinschi11, Attrapadung-Libert11, Catalano-F-Warinschi12, Catalano-F-Gennaro-Vamvourellis13, Libert-Peters-Joye-Yung13, Catalano-F-Nizzardo15, .....]

**low-degree polynomials** [Boneh-Freeman11, Catalano-F-Warinschi14]

**arbitrary circuits of bounded depth** [Gorbunov-Vaikunthanan-Wichs15]

**arbitrary circuits (fully homomorphic) [OP-1] ?**

# (2) efficiency of HS constructions



**linear functions** [Boneh-Freeman-Katz-Waters09, Gennaro-Krawczyk-Rabin10, Catalano-F-Warinschi11, Attrapadung-Libert11, Catalano-F-Warinschi12, Catalano-F-Gennaro-Vamvourellis13, Libert-Peters-Joye-Yung13, Catalano-F-Nizzardo15, .....

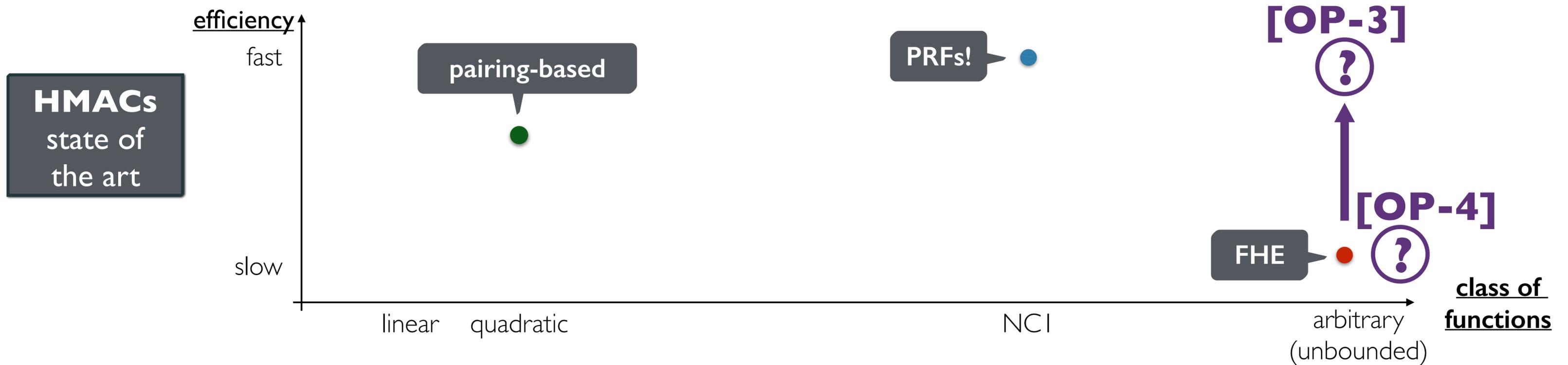
**low-degree polynomials** [Boneh-Freeman11, Catalano-F-Warinschi14]

**arbitrary circuits of bounded depth** [Gorbunov-Vaikunthanan-Wichs15]

**arbitrary circuits (fully homomorphic) [OP-1] ?**

**fast&expressive HS [OP-2] ?**

# functionality & efficiency of Hom. MACs constructions



**arbitrary circuits** [Gennaro-Wichs | 3] (no verification queries supported)

**low-degree arithmetic circuits (NCI)** [Catalano-F | 3, Catalano-F-Nizzardo | 4]

**degree-2 arithmetic circuits** [Backes-F-Reischuk | 3, F-Gennaro-Pastro | 4]

(new property: efficient verification)

**efficient FH-MACs [OP-3] / FH MACs secure w/verification queries [OP-4]**





# roadmap of this talk

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# a simple and practical homomorphic MAC [CF13]

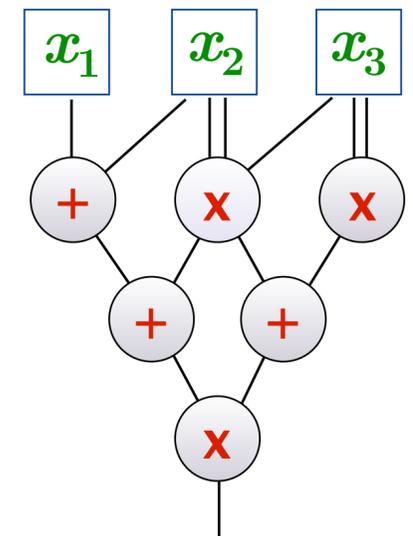
**inputs.** values  $x_i \in \mathbb{Z}_p$

**computations.** arithmetic circuits of low degree over

**applications.**

computations expressible w/boolean circuits of logarithmic depth (NC<sup>1</sup>)

arithmetic computations: polynomials, linear algebra, ...



# CFI 3 homomorphic MAC

## keygen()

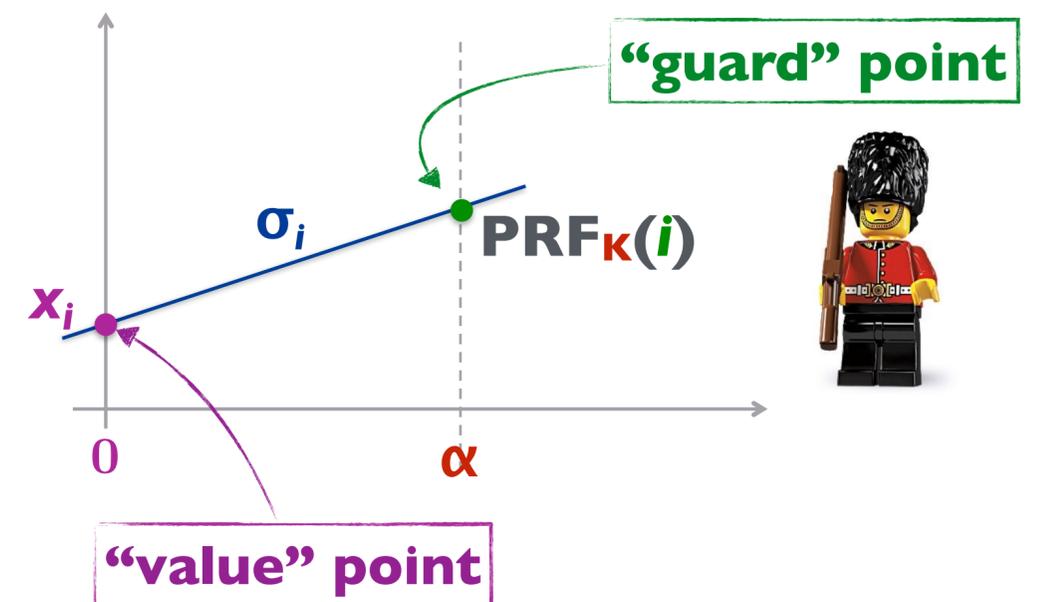
choose the **key**  $K$  of a  $\text{PRF}_K$   
and a secret line  $\alpha \in \mathbb{Z}_p$   
 $\text{sk} = (K, \alpha)$

## auth(sk, i, x<sub>i</sub>)

Encode **value**  $x_i$  (an integer)  
with **label/index**  $i$   
as a **polynomial**  $\sigma_i(\mathbf{Z})$   
of degree  $l$  such that:

$$\sigma_i(\alpha) = \text{PRF}_K(i)$$

$$\sigma_i(0) = x_i$$



$$\sigma_{i,0} = x_i, \sigma_{i,l} = (\text{PRF}_K(i) - x_i) / \alpha$$

## ver(sk, i, x<sub>i</sub>, $\sigma_i$ )

**Check the "guard" point**  
i.e., recompute  $\text{PRF}_K(i)$  and  
evaluate  $\sigma_i$  on 0 and  $\alpha$

# the CFI3 homomorphic MAC

**eval**( $\mathbf{f}$ ,  $\sigma_1, \dots, \sigma_k$ )

point-wise execution of arithmetic operations

$$\sigma^*(\mathbf{Z}) = \mathbf{f}(\sigma_1(\mathbf{Z}), \dots, \sigma_k(\mathbf{Z}))$$

**addition**: addition of coefficients

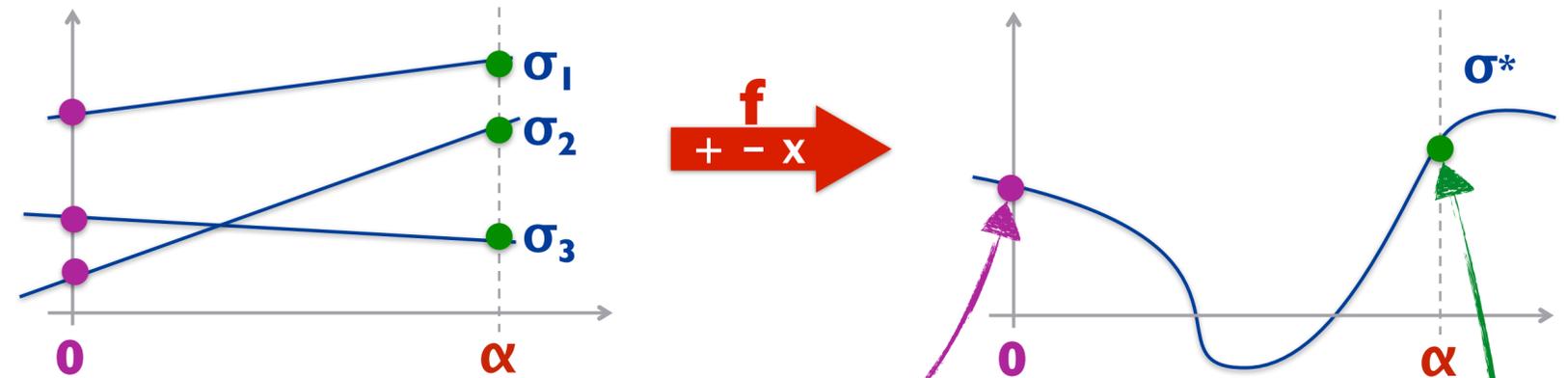
**multiplication**: convolution of polynomials

**ver**( $\mathbf{sk}$ ,  $\mathbf{f}$ ,  $\mathbf{y}$ ,  $\sigma^*$ )

Check

$$\sigma^*(\alpha) = \mathbf{f}(\text{PRF}_{\mathbf{K}}(l), \dots, \text{PRF}_{\mathbf{K}}(k))$$

$$\sigma^*(0) = \mathbf{y}$$



**correctness:**

**result**  $\sigma^*(0) = \mathbf{f}(\sigma_1(0), \dots, \sigma_k(0))$   
 $= \mathbf{f}(x_1, \dots, x_k)$

**“guard”**  $\sigma^*(\alpha) = \mathbf{f}(\sigma_1(\alpha), \dots, \sigma_k(\alpha))$   
 $= \mathbf{f}(\text{PRF}_{\mathbf{K}}(l), \dots, \text{PRF}_{\mathbf{K}}(k))$

**unforgeability.**

intuition: unpredictability of the guard point  
 a bit more precisely:

PRF security + Schwartz-Zippel

**succinctness.**  $|\sigma^*| = O(\deg(\mathbf{f}))$

or  $|\sigma^*| = O(l)$  under  $\deg(\mathbf{f})$ -DH assumption

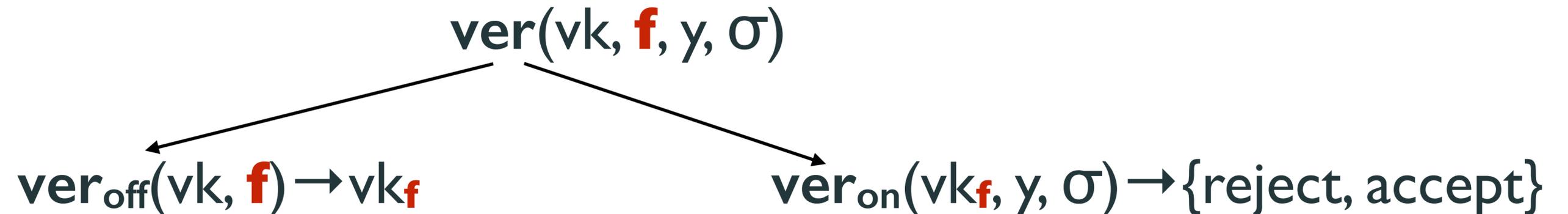
# HAs with efficient verification

CFI3 verification requires recomputing  $f$

how to verify efficiently?

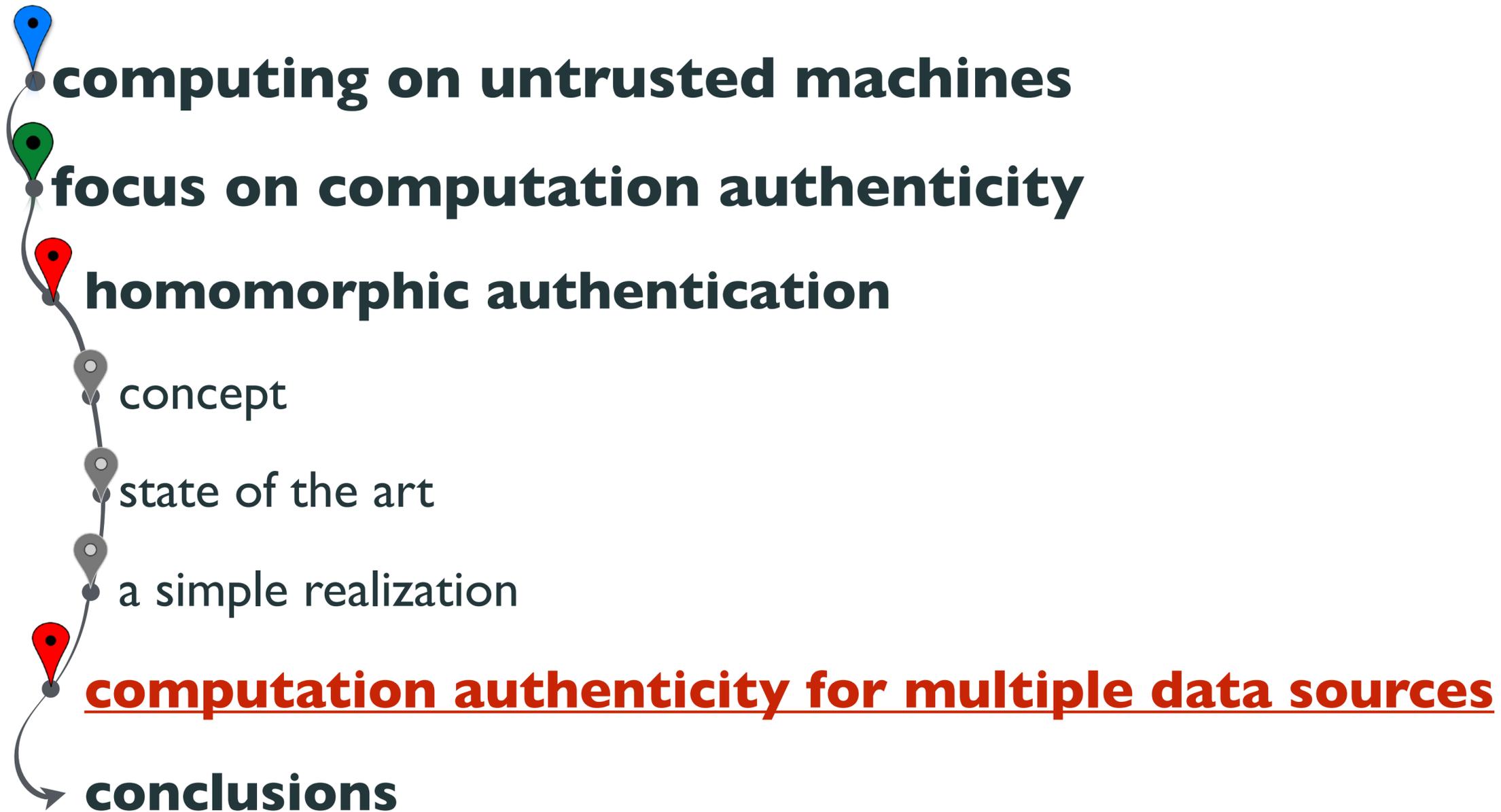
[BFR13] introduced the model and a first realization

basic idea.

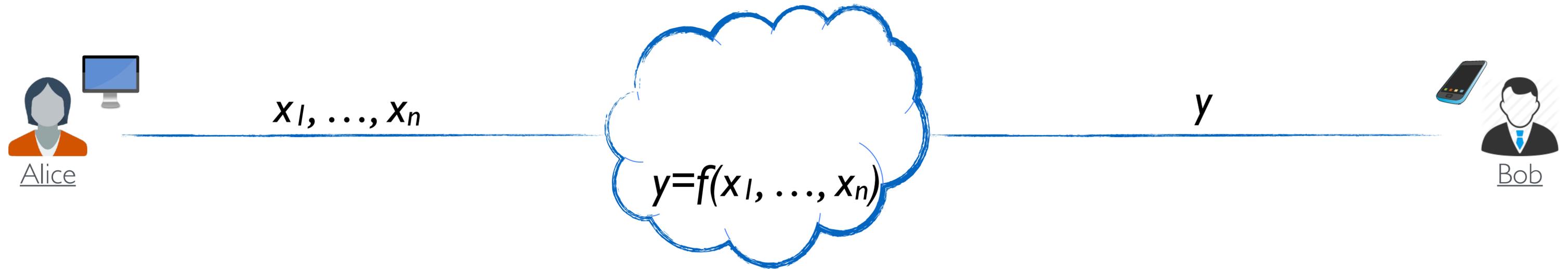


this is by now a desired verification model (also in homomorphic signatures)

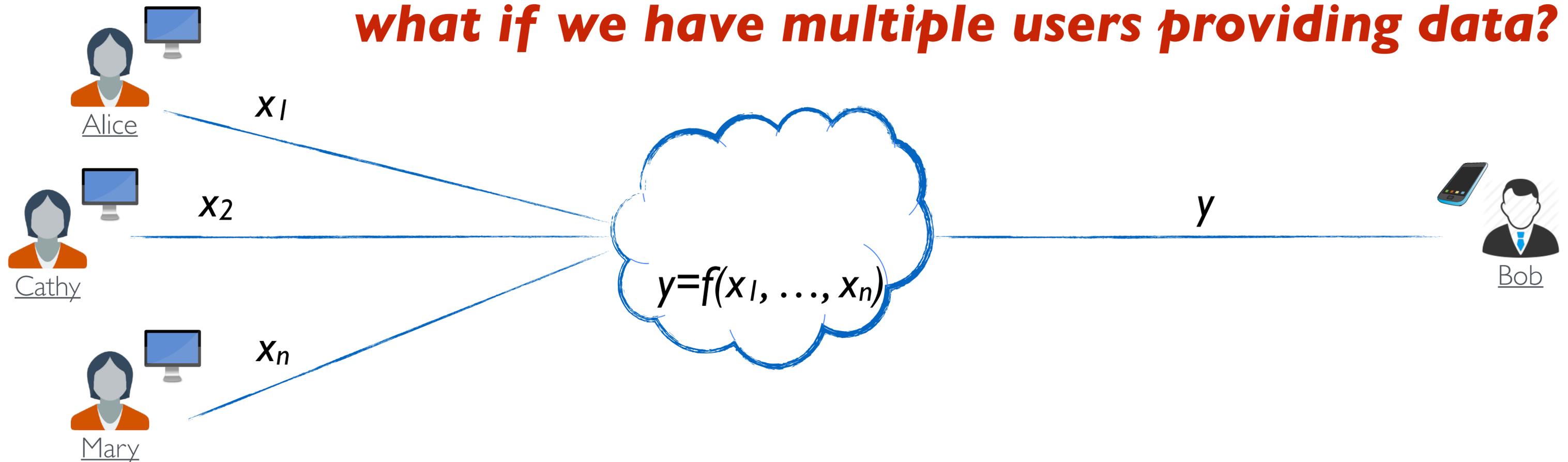
# roadmap of this talk



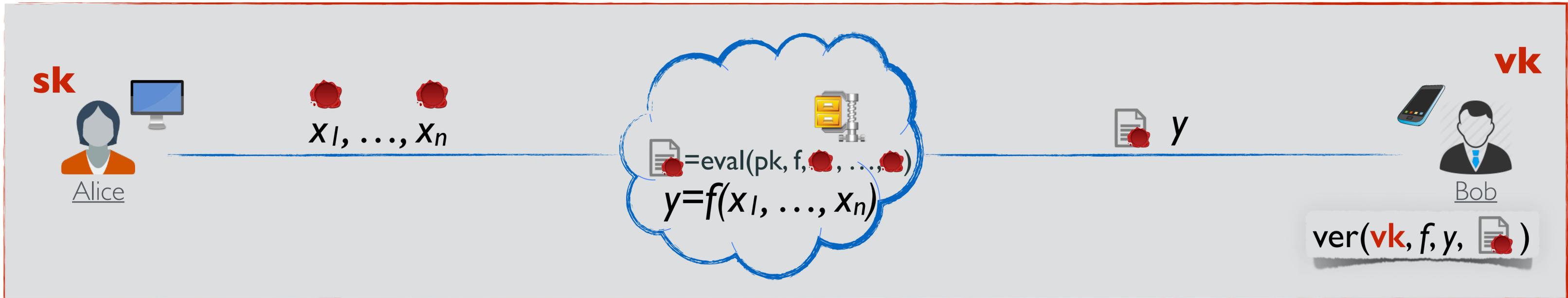
# computation authenticity for multiple users



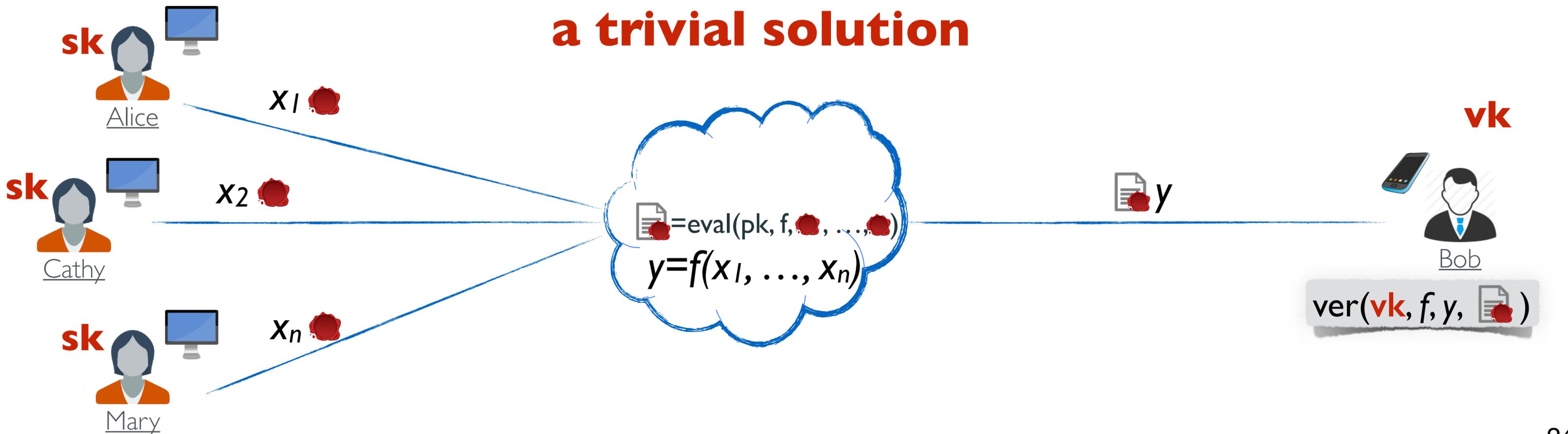
***what if we have multiple users providing data?***



# using (single-user) HAs



## a trivial solution



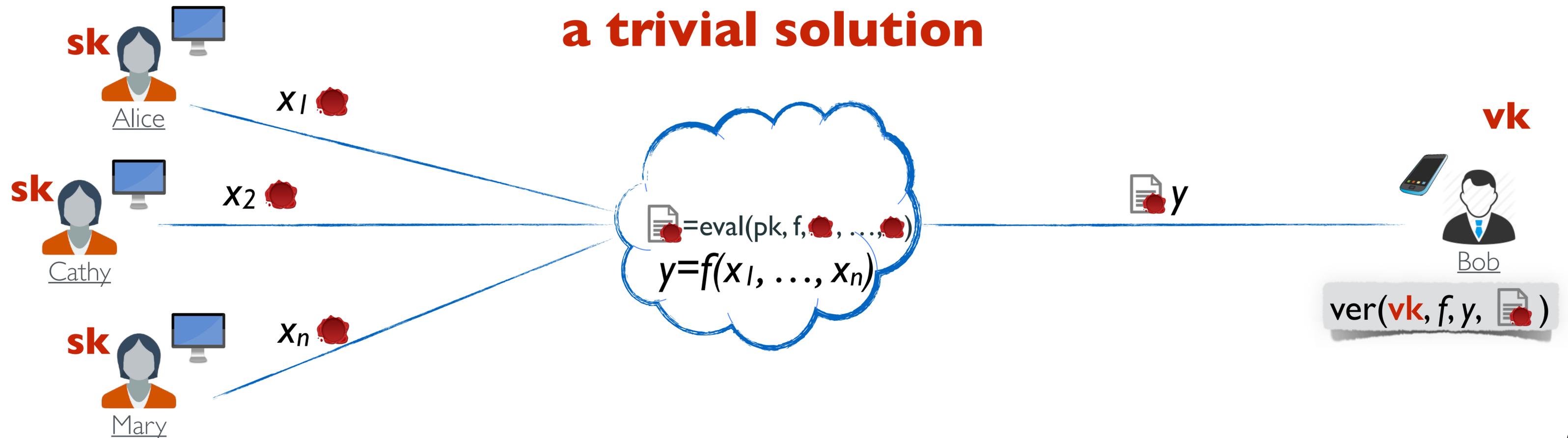


# using (single-user) HAs

## main issues.

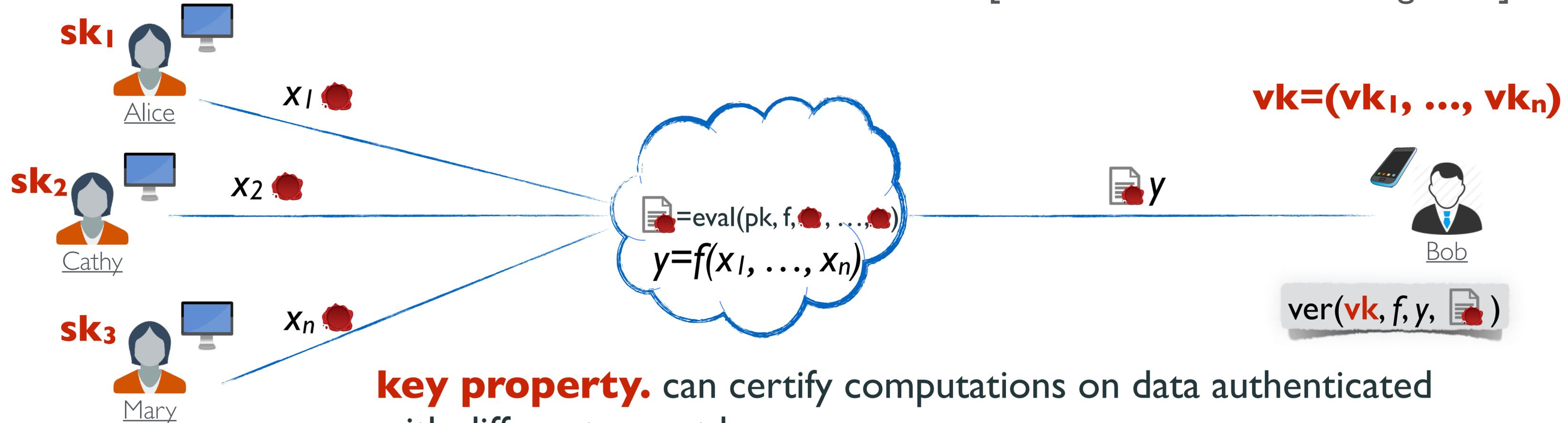
**establishing origin.** not really... all users look the same

**fault tolerance.** if one users is compromised all system is compromised!



# multi-key homomorphic authenticators

[F-Mitrokotsa-Nizzardo-Pagnin | 6]



**key property.** can certify computations on data authenticated with different secret keys

**unforgeability.** untrusted machine cannot cheat (unless it learns some  $sk_i$  involved in the computation)

**succinctness.** size of  $\sigma$  independent of #inputs (but may depend on #users)

# multi-key homomorphic authenticators (MK-HA)

$\text{setup}(1^k) \rightarrow \text{pp}$

$\text{keygen}(\text{pp}) \rightarrow (\text{sk}_{\text{id}}, \text{ek}_{\text{id}}, \text{vk}_{\text{id}})$

$\text{auth}(\text{sk}_{\text{id}}, (\mathbf{id}, \mathbf{i}), x) \rightarrow \sigma_{\text{id}, \mathbf{i}}$

$\text{eval}(f, \{\sigma_i, \mathbf{EKSi}\}_{i=1..n}) \rightarrow \sigma$  // each  $\mathbf{EKSi} = \{\text{ek}_{\text{id}}\}$

$\text{ver}(\mathbf{f}, \{\text{vk}_{\text{id}}\}, y, \sigma) \rightarrow \{\text{reject}, \text{accept}\}$

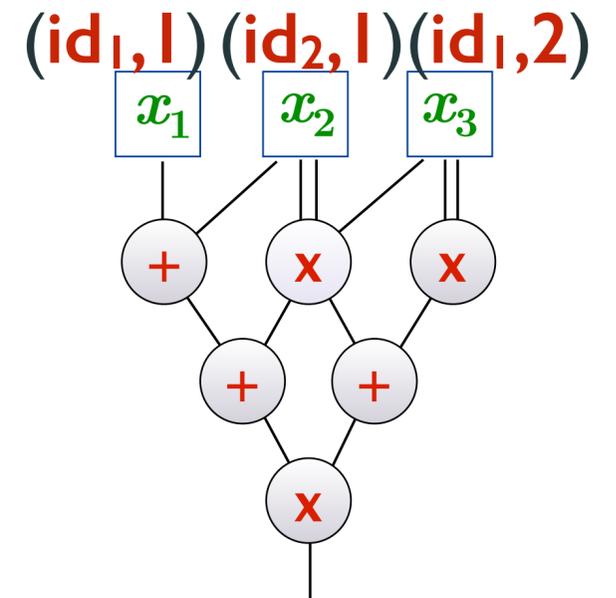
**correctness (basic idea).**

$\{\sigma_j \leftarrow \text{auth}(\text{sk}_{\text{id}_j}, (\mathbf{id}_j, \mathbf{i}_j), x_j)\}$  and  $\sigma \leftarrow \text{eval}(f, \{\sigma_j, \{\text{ek}_{\text{id}_j}\}\}_{j=1..n})$ ,

$\Rightarrow \text{ver}(\mathbf{f}, \{\text{vk}_{\text{id}}\}, f(x_1, \dots, x_n), \sigma) = \text{accept}$

**succinctness.** there is a universal polynomial  $p(k)$  such that  $|\sigma| \leq p(k, n, \log t)$

**security.** w/o  $\text{sk}$  of users involved in a computation, one can only create valid authenticators on legitimate outputs



# a look at multi-key HAs state of the art

	[F-Mitrokotsa-Nizzardo-Pagnin   6] <b>MK-HS</b>	<b>MK-HMAC</b>	[Lai et al.   8] <b>MK-HS*</b> <small>*stronger security</small>
<b>functions</b>	arbitrary circuits of bounded depth	arithmetic circuits of “low degree”	arbitrary circuits of bounded depth
<b>assumptions</b>	SIS	PRF (OWFs)	SNARKs
<b>succinctness</b> ( $n = \#users$ , $d = \deg(f)$ )	$O(n)$	$O(n^d)$ or $O(d^n)$	$O(1)$

multi-key HA w/better succinctness from std assumptions? [OP-5] 

# FMNPI 6 multi-key homomorphic MAC

## keygen() at user j

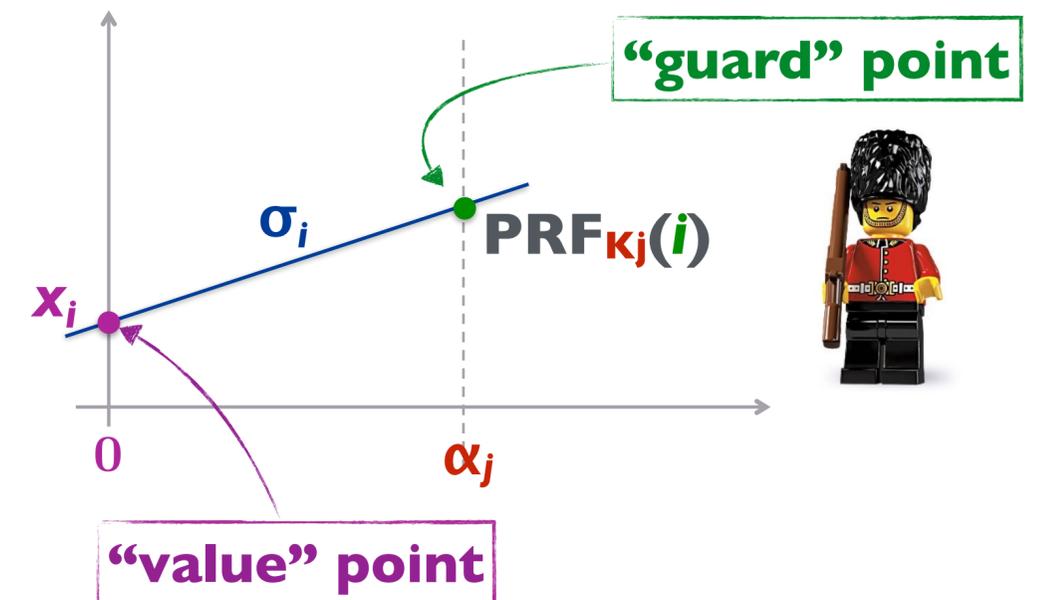
choose the **key**  $K_j$  of a  $\text{PRF}_{K_j}$   
and a secret line  $\alpha_j \in \mathbb{Z}_p$   
 $\text{sk}_j = (K_j, \alpha_j)$

## auth( $\text{sk}_j, i, x_i$ )

Encode **value**  $x_i$  (an integer)  
with **label/index**  $i$   
as a **polynomial**  $\sigma_i(\mathbf{Z}_j)$   
of degree 1 such that:

$$\sigma_i(\alpha_j) = \text{PRF}_{K_j}(i)$$

$$\sigma_i(0) = x_i$$



$$\sigma_{i,0} = x_i, \sigma_{i,1} = (\text{PRF}_{K_j}(i) - x_i) / \alpha_j$$

## ver( $\text{sk}_j, i, x_i, \sigma_i$ )

**Check the "guard" point**  
i.e., recompute  $\text{PRF}_{K_j}(i)$  and  
evaluate  $\sigma_i$  on 0 and  $\alpha_j$

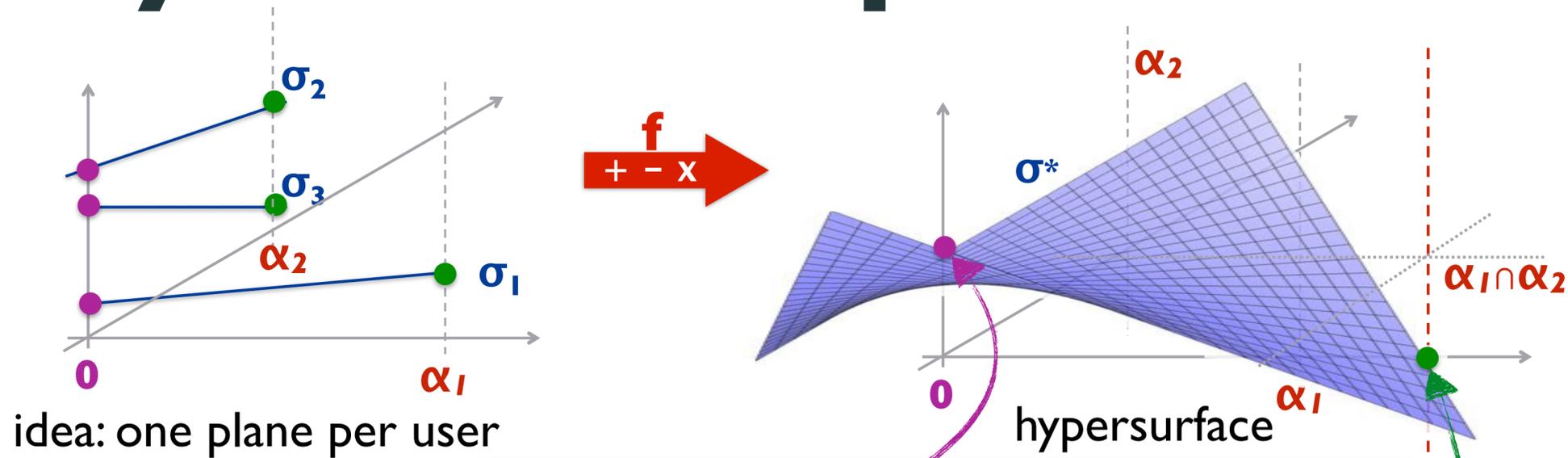
# FMNPI 6 multi-key homomorphic MAC

**eval**( $f, \sigma_1, \dots, \sigma_k$ )

multivariate polynomial evaluation

$$\sigma^*(\mathbf{Z}) = f(\sigma_1(\mathbf{Z}), \dots, \sigma_t(\mathbf{Z}))$$

$\mathbf{Z} = \mathbf{Z}_1, \dots, \mathbf{Z}_n$



**ver**( $sk, f, y, \sigma^*$ )

Check

$$\sigma^*(\alpha_1, \dots, \alpha_n) = f(\text{PRF}_{k_1}(l), \dots, \text{PRF}_{k_t}(t))$$

$$\sigma^*(0, \dots, 0) = y$$

**correctness:**

result  $\sigma^*(0, \dots, 0) = f(\sigma_1(0), \dots, \sigma_t(0))$   
 $= f(x_1, \dots, x_t)$

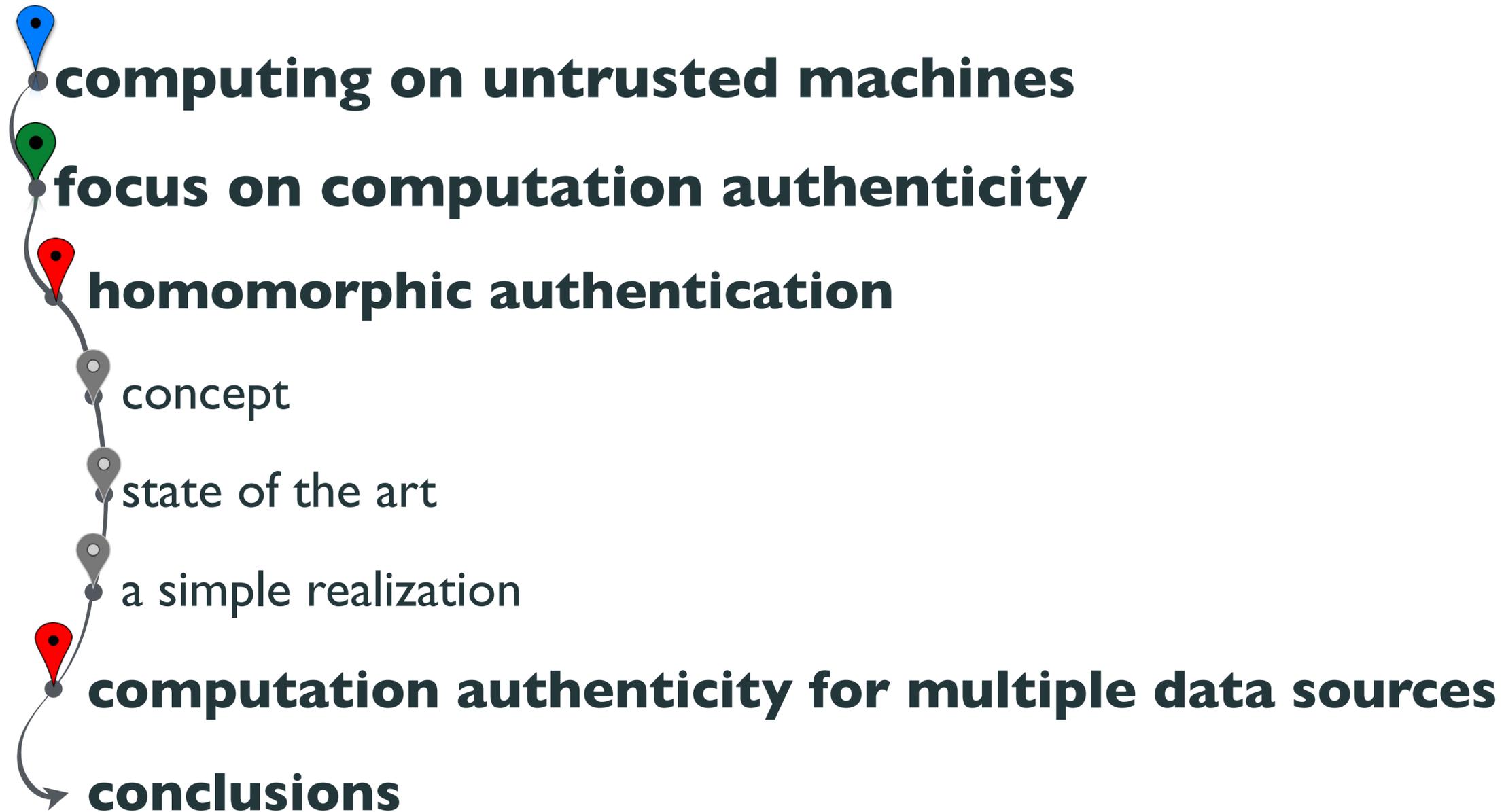
“guard”  $\sigma^*(\alpha_1, \dots, \alpha_n) = f(\sigma_1(\alpha_1), \dots, \sigma_t(\alpha_t))$   
 $= f(\text{PRF}_{k_1}(l), \dots, \text{PRF}_{k_t}(t))$

**unforgeability.**

intuition: unpredictability of the guard point  
 (more precisely: PRF + Schwartz-Zippel)

**succinctness.**  $|\sigma^*| = \binom{n+d}{d} = O(n^d)$  or  $O(d^n)$   
 $d = \text{deg}(f)$

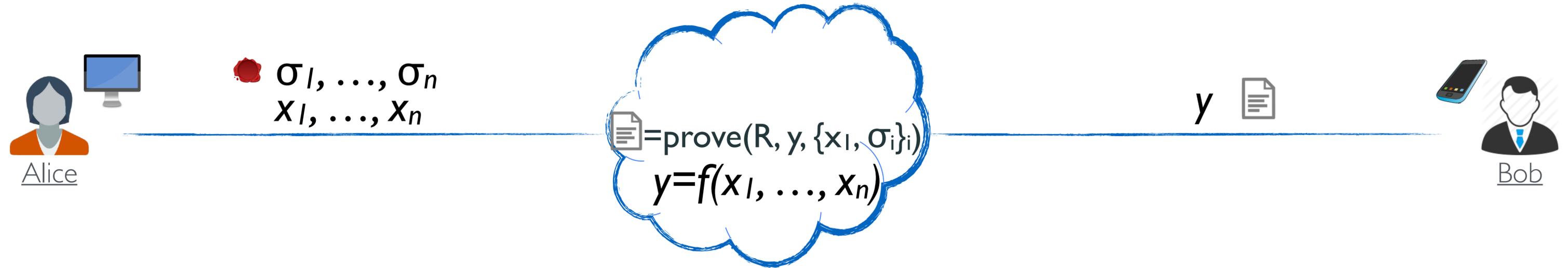
# roadmap of this talk



# **Alternative Approaches...**



# computation authenticity via SNARKs



## a folklore idea: using SNARKs + digital signatures

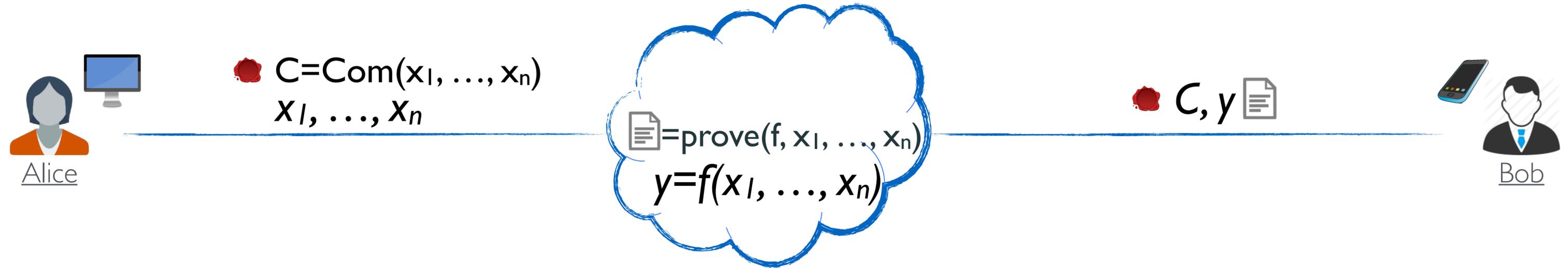
 proves that  $R(y, \{x_i, \sigma_i\}) = 1$  iff  $y = f(x)$  AND  $\forall i \sigma_i$  is a valid signature on  $(i, x_i)$

SNARK succinctness  $\Rightarrow$  HS succinctness

knowledge-soundness + unforgeability  $\Rightarrow$  HS unforgeability

...but proving security raises very subtle problems related to extractability

# computation authenticity via CP-SNARKs



## using commit-and-prove SNARKs + digital signatures

can create proof that  $y=f(x)$  w.r.t.  $C=\text{Com}(x)$  + add signature on commitment  $C$

Bob verifies that  $(C, \text{signature})$  is valid signature and that  $(C, y, \text{proof})$  valid proof

# “Standard” HA constructions vs. alternative approaches

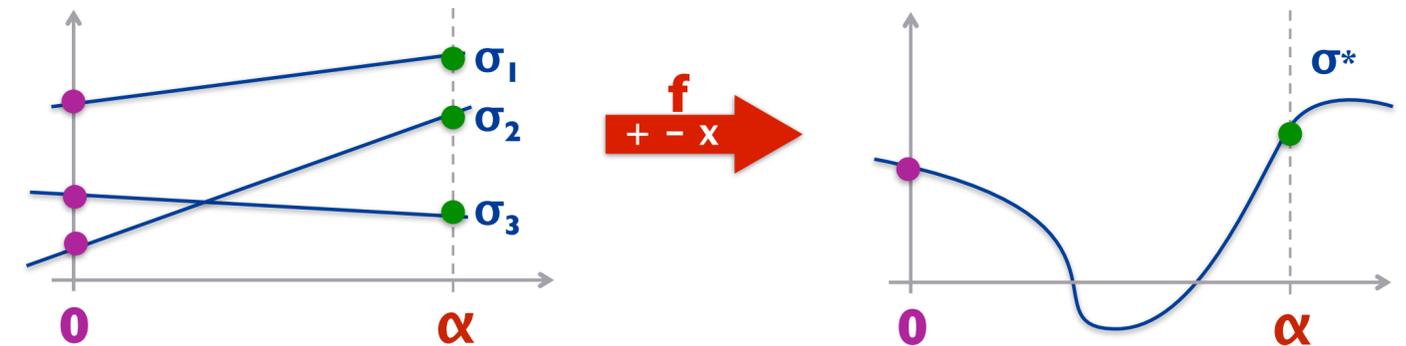
	<b>HA</b>	<b>SNARKs + Signatures</b>	<b>CP-SNARKs + Signatures</b>
<b>efficiency</b> (concrete)	good for linear/ quadratic functions		
<b>assumptions</b>	standard	(oracle) knowledge-type	knowledge-type
<b>public parameters</b>	$O(1)$ (ROM) $O(\#\text{inputs})$ (std model)	$O(1)$ ROM $O( f )$ **	$O(1)$ ROM $O( f )$ **
<b>composition</b>	yes	no*	no*
<b>streaming source</b>	yes	no	yes

# conclusions

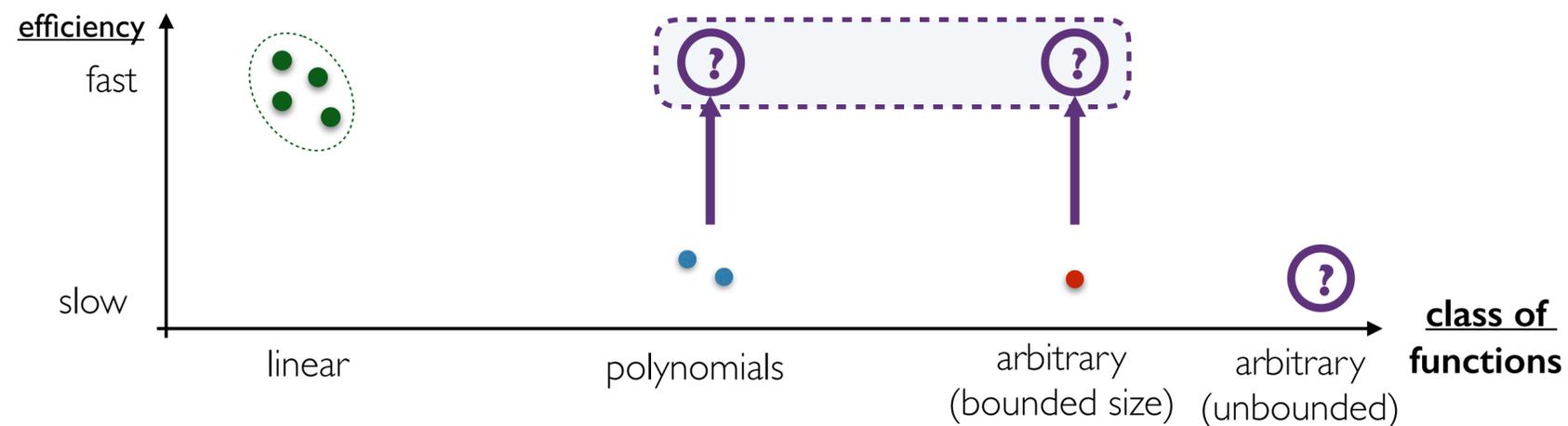
## computing securely on untrusted machines with homomorphic authentication



### simple homomorphic MACs from OWFs



### state of the art



### open problems

- [OP-1] fully homomorphic signatures
- [OP-2] fast&expressive HS
- [OP-3] efficient fully homomorphic MACs
- [OP-4] fully homomorphic MACs w/ver. queries
- [OP-5] fully-succinct multi-key HA

# my exciting journey on homomorphic authentication

**— Thank you for your attention!**

**thanks and credit to all my collaborators too!**



*M. Backes, M. Barbosa, D. Catalano, R. Gennaro, K. Mitrokotsa, L. Nizzardo, E. Pagnin,  
V. Pastro, R. Reischuk, B. Warinschi*